# Assignment 3

### COL 352 Introduction to Automata & Theory of Computation

## Problem 1

Give context-free grammars generating the following sets

- (a) the set of all strings over alphabet  $\{a, b, ., +, *, (,), \epsilon, \phi\}$  that are well-formed regular expression over alphabet  $\{a, b\}$ . Note that we must distinguish between  $\varepsilon$  as the empty string and  $\epsilon$  as a symbol in the regular expression
- (b) The set of all strings over alphabet  $\{a, b\}$  not of the form ww for some string w

#### Solution:

(a) Let  $\Sigma = \{a, b, .., +, *, (,), \epsilon, \phi\}$ . Consider the following CFG G -

$$S \rightarrow \phi \mid B$$
$$B \rightarrow a \mid b \mid \epsilon \mid B + B \mid B.B \mid (B) \mid B^*$$

To show that S represents the language L of all the well formed regular expressions over alphabet  $\{a, b\}$ .

I) Claim :  $L(G) \subseteq L$  (any string generated by G is a well formed regular expression)

Proof : By induction on the number of production rules through which a string is generated.

Basis : For n = 1,  $\phi$  can only be generated with one production rule.

Induction Hypothesis: Strings generated with  $\leq n$  production rules are be well formed regular expressions. Let us assume the Induction Hypothesis is true for k.

Induction Step : For n = k + 1, The last rule applied will be  $S \to B$ . The second last rule can be:

- 1.  $B \to B + B$ : From induction hypothesis (and the fact that the last rule for n = k also is  $S \to B$ ), each B will lead to a well formed regular expression, say  $r_1$  and  $r_2$ . And,  $r_1 + r_2$  is a regular expression. Similarly, other cases.
- 2.  $B \rightarrow B.B$
- 3.  $B \rightarrow B^*$
- 4.  $B \rightarrow (B)$
- 5. Trivial Cases:  $B \to a \mid b \mid \epsilon$

II) Claim :  $L \subseteq L(G)$  (any well formed regular expression can be generated from the Grammar G)

Proof: By induction on length of regular expression r.

Basis : For n = 0,  $\phi$  can be generated from G.

Induction Hypothesis: All regular expressions of length less than or equal to n can be generated by G.

Let us assume the induction hypothesis is true for n = k.

Induction Step : For n = k + 1, a regular expression r can be formed via

- 1.  $r_1 + r_2$ : From induction hypothesis,  $r_1$  and  $r_2$  can be generated by G through a series of steps, say  $S_1$  and  $S_2$ . Then, using the rule  $S \to B$  and  $B \to B + B$  followed by  $S_1$  and  $S_2$ , we can generate the regular expression. Similarly, for other cases.
- 2.  $r_1.r_2$
- 3.  $(r_1)$
- 4.  $r_1^*$

From I and II, L(G) = L. Thus, G is the required grammar.

b) Let  $\Sigma = \{a, b\}$ , consider the following CFG G -

$$S \rightarrow AB \mid BA \mid A \mid B$$
$$A \rightarrow CAC \mid a$$
$$B \rightarrow CBC \mid b$$
$$C \rightarrow a \mid b$$

To prove that this grammar generates set of all strings not of the form ww

I) Claim :  $L(G) \subseteq L$  (any string generated by G is not of the form ww)

Proof : Let the length of the string generated by A and B be 2m + 1 and 2n + 1 respectively.

The length of w to form ww would be m + n + 1. The middle a of the string generated from A is at a distance m+1 and the middle b of the string generated from B is at a distance of 2m+n+2(=2m+1+b+1) from the beginning.

This means that the  $(m+1)^{th}$  character of the first  $w, w_1$ , is a and the  $(m+1)^{th} (= (2m+n+2)-(m+n+1))$  character of the second  $w, w_2$ , is b. Therefore,  $w_1 \neq w_2$ .

Hence this grammar generates all strings not of the form ww.

II) Claim :  $L \subseteq L(G)$  (all strings not of the form ww can be generated by the grammar)

Proof: Consider a string x not of the form ww

Case 1 - |x| is odd. Proof by induction on the length of x

Basis : x = a or x = b can be derived using the rules  $S \to A \to a$  and  $S \to B \to b$ 

Induction Hypothesis : All odd length strings x, such that  $|x| \leq n$  can be derived from grammar, i.e.,

 $S \to A \xrightarrow{*} x \text{ or } S \to B \xrightarrow{*} x$ 

Induction Step : Let x' be the next odd length string such that |x'| = n + 2. Then,

$$S \to A$$
$$S \to CAC$$
$$S \to CxC$$

or replace A by B. x' = CxC such that |x'| = |CxC| = n + 2

Therefore all strings of odd length can be generated from the grammar

Case 2 - |x| is even

Since x is not of type ww, there exists at least one i such that  $x_i \neq x_{i+|x|/2}$ .

We can replace  $x_i$  and  $x_{i+|x|/2}$  by A and B and the others by C. Then x can be viewed as:

 $(CC...C)_{i-1}A(CC...C)_{i-1}(CC...C)_{j-1}B(CC...C)_{j-1}$ 

such that (i-1) + (j-1) + 1 = |x|/2. From induction hypothesis, this string can be generated by our grammar, and thus all even length strings can be generated.

From I and II, L(G) = L. Thus, G is the required grammar.

### Problem 2

Show that the language  $L = \{a^i b^j c^k \mid i < j < k\}$  is not context free.

**Solution**: We can prove this via Pumping Lemma. Let the pumping constant be n. Consider the string  $S = a^n b^{n+1} c^{n+2} \in L$ . Let S = uvwxy where  $|vx| \ge 1$  and  $|vwx| \le n$ .

The following cases arise:

1. vwx is in  $a^n$ : For  $i = 2, S' = uv^i wx^i y$  has more(or equal) a's than b's  $\implies S' \notin L$ 

2. vwx is in  $b^n$ : For  $i = 0, S' = uv^i wx^i y$  has more (or equal) a's than b's  $\implies S' \notin L$ 

- 3. vwx is in  $c^n$ : For  $i = 0, S' = uv^i wx^i y$  has more(or equal) b's than c's  $\implies S' \notin L$
- 4. vwx contains both a and b i.e. is across  $a^n b^{n+1}$ : Since x has at least one b, for  $i = 2, S' = uv^i wx^i y$  has more(or equal) b's than c's  $\implies S' \notin L$ .
- 5. vwx contains both b and c i.e. is across  $b^{n+1}c^{n+2}$ : Since v has at least one b, for  $i = 0, S' = uv^i wx^i y$  has more(or equal) a's than b's  $\implies S' \notin L$ .

So, by Pumping Lemma, the given language is not context free.

## Problem 3

Show that the language  $L = \{a^i b^j \mid i \neq j \text{ and } i \neq 2j\}$  is a CFL. Solution : Define

$$L_{1} = \{a^{i}b^{j} \mid i < j\}$$
$$L_{2} = \{a^{i}b^{j} \mid j < i < 2j\}$$
$$L_{3} = \{a^{i}b^{j} \mid i > 2j\}$$

Claim :  $L = L_1 \cup L_2 \cup L_3$  is a CFL.

Proof : Since union of CFLs is a CFL, the problem reduces to providing a CFG for each of  $L_1, L_2$  and  $L_3$ I)  $CFG_1$  for  $L_1$ 

$$S \to AB \mid B$$
$$B \to bB \mid b$$
$$A \to aAb \mid ab$$

A produces strings with equal number of a's and b's. B produces strings containing only b's. When concatenated, S produces strings with a's followed by b's where number of b's is greater than a's.

Alternately, any string in  $L_1$  can be split into a string containing equal number of a's and b's followed by only b's. The first string can be generated by A and the other by B. So,  $L(CFG_1) = L_1$ 

II)  $CFG_2$  for  $L_2$ 

$$\begin{array}{c} S \rightarrow aEb \\ E \rightarrow aEb \mid D \\ D \rightarrow aaDb \mid aab \end{array}$$

D generates strings with a's followed by b's where number of a's is double than that of b's. Say, number of a's = 2x and number of b's = x.  $(x \ge 1)$ 

E concatenates a's in the front and an equal number of b's in the end. Let, y be number of a's (and b's) added through this production rule where  $y \ge 0$ .

S concatenates an a in the front and b at the end. So, the resulting string is a's followed by b's where number of a's i.a  $n_a = 2x + y + 1$  and number of b's i.e.  $n_b = x + y + 1$ . Clearly,  $n_a > n_b \because x \ge 1$  and  $n_a < 2n_b \because y \ge 0$ . So,  $L(CFG_2) \subseteq L_2$ .

Also, any string in  $L_2$  of the form  $a^i b^j$  can be split as  $a^{2x} a^y b^y b^x$  where x = i - j and y = 2j - i which are valid because of the constraints in  $L_2$ . So,  $L_2 \subseteq L(CFG_2)$ .

Hence,  $L(CFG_2) = L_2$ 

III)  $CFG_3$  for  $L_3$ 

$$S \to AX \mid A$$
$$B \to aA \mid a$$
$$X \to aaXb \mid aab$$

 $L(CFG_3) = L_3$ . (Analysis similar to  $CFG_1$ .)

From I, II and III,  $L_1, L_2$  and  $L_3$  are CFLs, so L is a CFL